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JC09 Rec'd PCT/PTO 28 SEP 2005

PATENT
0508-1141

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of

Jacques DEFAYE et al.

Conf.

Application No. **NEW NATIONAL PHASE**

Group

Filed September 28, 2005

Examiner

NOVEL CYCLODEXTRIN DERIVATIVES, METHOD FOR THE PREPARATION THEREOF
AND USE THEREOF FOR THE SOLUBILIZATION OF PHARMACOLOGICALLY ACTIVE
SUBSTANCES

INFORMATION DISCLOSURE STATEMENT
(SUBMISSION CONCURRENT WITH THE
FILING OF A NEW PATENT APPLICATION)

Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

September 28, 2005

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, and in fulfillment of the duty of disclosure under 37 C.F.R. § 1.56, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES

- ☐ Copies of the U.S. patents or publications are not submitted since the USPTO has waived their submission for applications filed after June 30, 2003.
- ☒ Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- ☐ This application is a National Phase of a PCT application. Some or all of the documents listed on the PTO-1449 are not enclosed because they were cited in the International Search Report and copies should have been forwarded from the International Search Authority pursuant to the trilateral agreement between the USPTO, EPO and JPO, or they are U.S. patents or U.S. published applications. If copies are needed, please contact the undersigned.

III. CONCISE EXPLANATION OF THE RELEVANCE **JCO9 Rec'd PCT/PTO 28 SEP 2009**
 (check at least one box)

a. ☐ **DOCUMENTS IN THE ENGLISH LANGUAGE**

The attached non U.S. patents, non U.S. patent application publications, foreign publications, or other information in the English language do not require a statement of relevancy.

b. ☐ **DOCUMENTS NOT IN THE ENGLISH LANGUAGE**

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

c. ☒ **FOREIGN SEARCH REPORT OR ACTION**

An foreign language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).

d. ☐ **OTHER**

The following additional information is provided for the Examiner's consideration.

FEES

This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required.

If The Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned.

Respectfully submitted,

YOUNG & THOMPSON

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Enclosures: ☒ Form PTO-1449(s)
☒ Documents
☒ Foreign Search Report
☐ Other: _____

(Use several sheets if necessary)

0508-1141

NEW NATIONAL PHASE

Jacques DEFAYE et al.

September 28, 2005

Group Art Unit:

[illegible]

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	WO 97/33919	9/18/1997	INTERNATIONAL				
	WO 99/45032	9/10/1999	INTERNATIONAL				
	WO 99/19994	7/27/1995	INTERNATIONAL				
	0 403 366	12/19/1990	EUROPE				
	WO 98/05689	2/12/1998	INTERNATIONAL				

	NAGATA Y. ET AL.: , pH-Responsive Guest Binding of Polypeptide Containing a Cyclodextrin at the Terminal", BULL. CHEM. SOC. JPN. ol. 67, 1994, pages 495-499, XP0001161089, page 495, colonne de droite, ligne 23 - ligne 41
	NOBUYOSHI AOKI ET AL.: , "Gas chromatographic-mass spectrometric study of reactions of halodeoxycellulose with thiols in aqueous solutions", CARBOHYDRATE POLYMERS, vol 27, 1995 pages 13-21, XP004034456, GREAT BRITAIN, page 15, colonne de gauche, ligne 15 - ligne 17PA
	JUAN J. GARCIA-LOPEZ ET AL.: , "Synthesis of cluster N-Glycosides based on a beta-cyclodextrin core", CHEM. EUR. JOURNAL, vol. 5, no. 6. 1999, pages 1775-1784, XP00828759, WEINHEIM, page 1775, colonne de droite, dernier alinea page 1778

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

* English language abstract provided for the Examiner's convenience

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